



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kie Y. Ahn et al. Examiner: Asok K Sarkar

Serial No.: 10/602,315 Group Art Unit: 2829

Filed: June 24, 2003 Docket: 1303.107US1

Title: LANTHANIDE OXIDE / HAFNIUM OXIDE DIELECTRICS

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 et. seq., the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(c)(2), Applicants have included the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p). Please charge any additional fees or credit any overpayment to Deposit Account No. 19-0743.

11/16/2004 AWDNDAF1 00000001 10602315

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Serial No :10/602,315 Filing Date: June 24, 2003

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Page 2 Dkt: 1303.107US1

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

KIE Y. AHN ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A. P.O. Box 2938
Minneapolis, MN 55402
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Date 12 November 2004

David R. Cochran

Reg. No. 46,632

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 12 day of November, 2004.

Namo

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n of information unless it contains a valid OMB control number.

Substitute or form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT Ose as many sheets as necessary)

Application Number 10/602,315 Filing Date June 24, 2003 **First Named Inventor** Ahn, Kie **Group Art Unit** 2829 **Examiner Name** Sarkar, Asok

Sheet 1 of 4

Attorney Docket No: 1303.107US1

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STATEMENT BY APPLICANT	Application Number	10/602,315	
(Use as many sheets as necessary)	Filing Date	June 24, 2003	
	First Named Inventor	Ahn, Kie	
	Group Art Unit	2829	
	Examiner Name	Sarkar, Asok	
Sheet 2 of 4	Attorney Docket No: 1303.107US1		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Application Number	10/602,315	
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Substitute for form 1449A/PTO	Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Application Number	10/602,315	
(Use as many sheets as necessary)	Filing Date	June 24, 2003 Ahn, Kie	
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LANTHANIDE OXIDE / HAFNIUM OXIDE DIELECTRICS

COMMUNICATION CONCERNING RELATED APPLICATION(S)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Applicants would like to bring to the Examiner's attention the following related application(s) in the above-identified patent application:

<u>Serial/Patent No.</u> 09/944981	Filing Date August 30, 2001	Attorney Docket 1303.021US1	Title GATE OXIDES AND METHODS OF FORMING
09/945535	August 30, 2001	1303.026US1	HIGHLY RELIABLE AMORPHOUS HIGH-K GATE OXIDE ZrO2
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COMMUNICATION CONCERNING RELATED APPLICATIONS Serial Number: 10/602,315 Filing Date: June 24, 2003 Title: LANTHANIDE OXIDE / HAFNIUM OXIDE DIELECTRICS

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10/420307	April 22, 2003	1303.097US1	ATOMIC LAYER DEPOSITED ZrTiO4 FILMS
10/602323	June 24, 2003	1303.101US1	LANTHANIDE OXIDE / HAFNIUM OXIDE DIELECTRIC LAYERS
09/779959	February 9, 2001		

COMMUNICATION CONCERNING RELATED APPLICATIONS Serial Number: 10/602,315 Filing Date: June 24, 2003 Title: LANTHANIDE OXIDE / HAFNIUM OXIDE DIELECTRICS

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10/930138	August 31, 2004	1303.044US2	EVAPORATION OF Y-Si-O FILMS FOR MEDIUM-k DIELETRICS
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10/930516	August 31, 2004	1303.078US2	ATOMIC LAYER DEPOSITED HISION DIELECTRIC FILMS
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10/931365	August 31, 2004	1303.059US2	Pr2O3-BASED La-oxide GATE DIELECTRICS

COMMUNICATION CONCERNING RELATED APPLICATIONS

Serial Number: 10/602,315 Filing Date: June 24, 2003

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10/931364	August 31, 2004	1303.069US2	LANTHANIDE DOPED TiOx DIELECTRIC FILMS BY PLASMA OXIDATION
10/931343	August 31, 2004	1303.101US2	LANTHANIDE OXIDE / HAFNIUM OXIDE DIELECTRIC LAYERS
10/931340	August 31, 2004	1303.107US2	LANTHANIDE OXIDE / HAFNIUM OXIDE DIELECTRICS
10/931356	August 31, 2004	1303.026US2	HIGHLY RELIABLE AMORPHOUS HIGH-K GATE OXIDE ZrO2

Respectfully submitted,

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